

06/11/01
J1050 U.S. PTO

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Docket No.: TUC920010042US1
Date: June 11, 2001

A
Jc978 U.S. PTO
09/078470
06/11/01

BOX PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Dear Sir:

Transmitted herewith for filing is the patent application of:

Inventor: DIMITRI et al.
For: Method and Apparatus to Transfer Information Between a Host Computer and One or More Hard Disks Disposed in a Data Storage and Retrieval System

Enclosed are the following:

- ☒ Specification 23 pages; Claims 10 pages; Abstract 1 page
☒ Declaration and Power of Attorney
☒ Sheet(s) of drawings 14 pages
☒ An assignment of the invention to: International Business Machines Corporation
☐ A certified copy of _____ application No. _____, filed _____
☒ Prior Art Disclosure Statement
☐ Preliminary Amendment

☐ Applicant claims small entity status. See 37 CFR 1.27.

Priority is hereby claimed under 35 USC 119 by way of _____ patent application
No. _____ filed _____.

Benefit is hereby claimed under Title 35, United States Code 119(e) of United States provisional
application No. _____ filed _____.

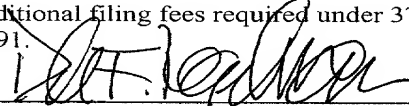
The filing fee has been calculated as shown below:

| | | SMALL ENTITY | LARGE ENTITY |
|-----------------------|-----------|--------------|-----------------|
| BASIC FEE: | | \$355.00 | \$ 710.00 |
| TOTAL CLAIMS: | 26 - 20 = | x 9 = | 6 x 18 = 108.00 |
| INDEPENDENT CLAIMS: | 4 - 3 = | x 40 = | 1 x 80 = 80.00 |
| MULT. DEPEND. CLAIMS: | | + 135 = | + 270 = |
| TOTAL: | | \$ | \$898.00 |

☒ Form PTO-2038 authorizing credit card payment in the amount of \$938.00 to cover the fees is enclosed.

☒ (\$40.00 Assignment recordal fee is included)

The Commissioner is hereby authorized to charge any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. 08-1391.


Dale F. Regelman
Attorney of Record
Reg. No. 45,625

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Mailing Label No. EF407952112US Date of Deposit: June 11, 2001

I hereby certify that this paper and the papers listed thereon are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, and is addressed to BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of person mailing: 
Name of person mailing: REENA MENDEZ

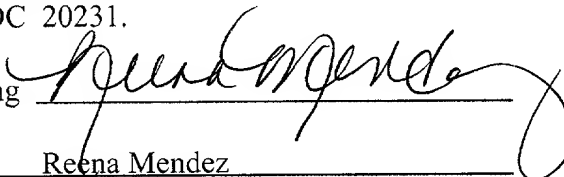
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Signature of Person Mailing



Name of Person Mailing

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